



## **State Mortgage and Investment Bank**

### **BIDDING DOCUMENT**

# **Supply, Implementation, Commissioning and Maintenance of Data Classification & Data Leakage Prevention Solution**

**INVITATION FOR BIDS No: SIMB/TD/2026/01/08**

**National Competitive Bidding (NCB)**

**February, 2026**



**STATE MORTGAGE AND INVESTMENT BANK  
INVITATION FOR BIDS (IFB)**

**Supply, Implementation, Commissioning and Maintenance of Data Classification & Data Leakage Prevention Solution**

**Tender Number - SIMB/TD/2026/01/08**

1. State Mortgage and Investment Bank (SMIB) that functions under the purview of Ministry of Finance, Planning, and Economic Development, intends to procure Endpoint Detection and Response Solution.
2. The Chairperson of the Procurement Committee (PC), on behalf of State Mortgage and Investment Bank (SMIB) invites sealed bids from eligible and qualified bidders for Supply, Implementation, Commissioning and Maintenance of Data Classification & Data Leakage Prevention Solution.
3. Bidding will be conducted using the National Competitive Bidding (NCB) procedure that shall be governed by the procurement guidelines of the Government of Sri Lanka and is open to all eligible bidders that meet the eligibility and qualification requirements given in the bidding documents.
4. Interested bidders may obtain further information from Mr. R.M.U.D. Bandara, Chief Manager (HR and Logistics), State Mortgage and Investment Bank, No. 269, Galle Road, Colombo 03 and inspect the bidding documents free of charge during office hours on working days commencing from **February 5, 2026**, at the office of SMIB at the above address. Telephone: 011-7722879/723, email: [cmhrlog@smib.lk](mailto:cmhrlog@smib.lk) Bidding documents are also available on SMIB website (<https://www.smib.lk/procurement/>) only for inspection purposes.
5. A complete set of Bidding Documents in English Language may be purchased by interested bidders on submission of a written application and upon payment of a non-refundable fee of Sri Lankan Rupees Five Thousand only (LKR 5,000.00) effective from **February 5, 2026, during** office hours on working days from the office of the SMIB at No. 269, Galle Road, Colombo 03. The method of payment will be by cash.
6. Bids must be delivered to General Manager State Mortgage and Investment Bank, No. 269, Galle Road, Colombo 03 at or before **1500 hrs. (3.00 p.m.)** on **February 19, 2026**, Late Bids and Bids sent electronically will not be accepted and will be rejected.
7. All Bids must be accompanied by Bid Securities in the form of a Bank Guarantee using the format given with the bidding documents in the amount of Sri Lankan Rupees two hundred and twenty-five thousand only (LKR 225,000.00).
8. Bids shall be valid for a period of 90 days from the date of deadline for submission of the bids.

9. Bids will be opened immediately after the deadline for submission of bids, in the presence of bidders and/or their authorized representatives who choose to attend in person at the address stated above (in Para 6).
10. SMIB will not be responsible for any costs or any expenses incurred by the Bidders in connection with the preparation or delivery of Bids.

Chairman  
Department Procurement Committee,  
State Mortgage and Investment Bank,  
No. 269, Galle Road, Colombo 03, Sri Lanka.

## Section I. Instructions to Bidders (ITB)

### General

- 1. Scope of Bid**
- 1.1 The Purchaser **indicated in the Bidding Data Sheet (BDS)**, issues these Bidding Documents for the supply of Goods and Related Services incidental thereto as specified in Section V, Schedule of Requirements. The name and identification number of this National Competitive Bidding (NCB) procurement are **specified in the BDS**. The name, identification, and number of lots (individual contracts), if any, are **provided in the BDS**.
- 1.2 Throughout these Bidding Documents:
- (a) The term “in writing” means communicated in written form by mail (other than electronic mail) or hand delivered with proof of receipt.
  - (b) If the context so requires, “singular” means “plural” and vice versa; and
  - (c) “Day” means calendar day.
- 2. Source of Funds**
- 2.1 Payments under this contract will be financed by the source specified in the BDS.
- 3. Ethics, Fraud and Corruption**
- 3.1 The attention of the bidders is drawn to the following guidelines of the Procurement Guidelines published by National Procurement Agency:
- Parties associated with Procurement Actions, namely, suppliers/contractors and officials shall ensure that they maintain strict confidentiality throughout the process.
  - Officials shall refrain from receiving any personal gain from any Procurement Action. No gifts or inducement shall be accepted. Suppliers/contractors are liable to be disqualified from the bidding process if found offering any gift or inducement which may have an effect of influencing a decision or impairing the objectivity of an official.
- 3.2 The Purchaser requires the bidders, suppliers, contractors, and consultants to observe the highest standard of ethics during the procurement and execution of such contracts. In pursuit of this policy:
- (a) “corrupt practice” means the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of a public official in the procurement process or in contract execution.

- (b)** “Fraudulent practice” means a misrepresentation or omission of facts in order to influence a procurement process or the execution of a contract.
- (c)** “Collusive practice” means a scheme or arrangement between two or more bidders, with or without the knowledge of the Purchaser to establish bid prices at artificial, non-competitive levels; and
- (d)** “Coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the procurement process or affect the execution of a contract.
- 3.3** If the Purchaser found any unethical practices as stipulated under ITB Clause 3.2, the Purchaser will reject a bid, if it is found that a Bidder directly or through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for the Contract in question.
- 4. Eligible Bidders**
- 4.1 All bidders shall possess legal rights to supply the Goods under this contract.
- 4.2 A Bidder shall not have a conflict of interest. All bidders found to have conflict of interest shall be disqualified. Bidders may be considered to have a conflict of interest with one or more parties in this bidding process, if they:
- (a) are or have been associated in the past, with a firm or any of its affiliates which have been engaged by the Purchaser to provide consulting services for the preparation of the design, specifications, and other documents to be used for the procurement of the goods to be purchased under these Bidding Documents; or
- (b) Submit more than one bid in this bidding process. However, this does not limit the participation of subcontractors in more than one bid.
- 4.3 A Bidder that is under a declaration of ineligibility by the Government of Sri Lanka (GOSL), at the date of submission of bids or at the date of contract award, shall be disqualified.
- 4.4 Foreign Bidder may submit a bid only if so stated in the BDS.
- 5 Eligible Goods and Related Services**
- 5.1 All goods supplied under this contract shall be complied with applicable standards stipulated by the Sri Lanka Standards Institute (SLSI). In the absence of such standards, the Goods supplied shall be complied to other internationally accepted standards.

## **Contents of Bidding Documents**

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| <b>6 Sections of Bidding Documents</b>      | 6.1 The Bidding Documents consist of 1 Volume, which include all the sections indicated below, and should be read in conjunction with any addendum issued in accordance with ITB Clause 8.<br><br>Section I – Instructions to Bidders (ITB)<br><br>Section II – Bidding Data Sheet (BDS)<br><br>Section III – Evaluation and Qualification Criteria<br><br>Section IV - Bidding Forms<br><br>Section V – Schedule of Requirements<br><br>Section VI – Conditions of Contract<br><br>Section VII – Contract Data<br><br>Section VIII – Contract Forms   |
|   | 6.2 The Bidder is expected to examine all instructions, forms, terms, and specifications in the Bidding Documents. Failure to furnish all information or documentation required by the Bidding Documents may result in the rejection of the bid.   |
| <b>7 Clarification of Bidding Documents</b> | 7.1 A prospective Bidder requiring any clarification of the Bidding Documents including the restrictiveness of specifications shall contact the Purchaser in writing at the Purchaser's address <b>specified in the BDS</b> . The Purchaser will respond in writing to any request for clarification, provided that such request is received no later than ten (10) days prior to the deadline for submission of bids. The Purchaser shall forward copies of its response to all those who have purchased the Bidding Documents, including a description of the inquiry but without identifying its source. Should the Purchaser deem it necessary to amend the Bidding Documents as a result of a clarification, it shall do so following the procedure under ITB Clause 8. |
| <b>8 Amendment of Bidding Documents</b>     | 8.1 At any time prior to the deadline for submission of bids, the Purchaser may amend the Bidding Documents by issuing addendum.<br><br>8.2 Any addendum issued shall be part of the Bidding Documents and shall be communicated in writing to all who have purchased the Bidding Documents.<br><br>8.3 To give prospective Bidders reasonable time in which to take an addendum into account in preparing their bids, the Purchaser may,  |

at its discretion, extend the deadline for the submission of bids, pursuant to ITB Sub-Clause 23.2

### **Preparation of Bids**

- 9 Cost of Bidding** 9.1 The Bidder shall bear all costs associated with the preparation and submission of its bid, and the Purchaser shall not be responsible or liable for those costs, regardless of the conduct or outcome of the bidding process.
- 10 Language of Bid** 10.1 The Bid, as well as all correspondence and documents relating to the Bid (including supporting documents and printed literature) exchanged by the Bidder and the Purchaser, shall be written in English language.
- 11 Documents Comprising the Bid** 11.1 The Bid shall comprise the following:  
(a) Bid Submission Form and the applicable Price Schedules, in accordance with ITB Clauses 12, 14, and 15;  
(b) Bid Security or Bid-Securing Declaration, in accordance with ITB Clause 20;  
(c) Documentary evidence in accordance with ITB Clauses 18 and 29, that the Goods and Related Services conform to the Bidding Documents;  
(d) Documentary evidence in accordance with ITB Clause 18 establishing the Bidder's qualifications to perform the contract if its bid is accepted; and  
(e) Any other document required in the BDS.
- 12 Bid Submission Form and Price Schedules** 12.1 The Bidder shall submit the Bid Submission Form using the form furnished in Section IV, Bidding Forms. This form must be completed without any alterations to its format, and no substitutes shall be accepted. All blank spaces shall be filled in with the information requested.
- 13 Alternative Bids** 13.1 Alternative bids shall not be considered.
- 14 Bid Prices and Discounts** 14.1 The Bidder shall indicate on the Price Schedule the unit prices and total bid prices of the goods it proposes to supply under the Contract.  
14.2 Any discount offered against any single item in the price schedule shall be included in the unit price of the item. However, a Bidder wishes to offer discount as a lot the bidder may do so by indicating such amounts appropriately.  
14.3 If so indicated in ITB Sub-Clause 1.1, bids are being invited for individual contracts (lots) or for any combination of contracts (packages). Unless otherwise indicated in the **BDS**, prices quoted shall correspond to 100 % of the items specified for each lot and to 100% of the quantities specified for each item of a lot. Bidders wishing to offer any price reduction (discount) for the award of

		more than one Contract shall specify the applicable price reduction separately.
14.4	(i)	Prices indicated on the Price Schedule shall include all duties and sales and other taxes already paid or payable by the Supplier:
	(a)	on components and raw material used in the manufacture or assembly of goods quoted; or on the previously imported goods of foreign origin
	(b)	on the previously imported goods of foreign origin
	(ii)	However, VAT shall not be included in the price but shall be indicated separately;
	(iii)	the price for inland transportation, insurance and other related services to deliver the goods to their final destination;
	(iv)	the price of other incidental services
14.5		The Prices quoted by the Bidder shall be fixed during the Bidder's performance of the Contract and not subject to variation on any account. A bid submitted with an adjustable price quotation will be treated as non-responsive and rejected, pursuant to ITB Clause 31.
14.6		All lots, if any, and items must be listed and priced separately in the Price Schedules. If a Price Schedule shows items listed but not priced, their prices shall be assumed to be included in the prices of other items.
<b>15 Currencies of Bid</b>	15.1	Unless otherwise stated in Bidding Data Sheet, the Bidder shall quote in Sri Lankan Rupees and payment shall be payable only in Sri Lanka Rupees.
<b>16 Documents Establishing the Eligibility of the Bidder</b>	16.1	To establish their eligibility in accordance with ITB Clause 4, Bidders shall complete the Bid Submission Form, included in Section IV, Bidding Forms.
<b>17 Documents Establishing the Conformity of the Goods and Related Services</b>	17.1	To establish the conformity of the Goods and Related Services to the Bidding Documents, the Bidder shall furnish as part of its Bid the documentary evidence that the Goods conform to the technical specifications and standards specified in Section V, Schedule of Requirements.
	17.2	The documentary evidence may be in the form of literature, drawings or data, and shall consist of a detailed item by item description (given in Section V, Technical Specifications) of the essential technical and performance characteristics of the Goods and Related Services, demonstrating substantial responsiveness of the Goods and Related Services to the technical specification, and if applicable, a statement of deviations and exceptions to the provisions of the Schedule of Requirements.
	17.3	The Bidder shall also furnish a list giving full particulars, including quantities, available sources and current prices of spare parts,

		special tools, etc., necessary for the proper and continuing functioning of the Goods during the period if <b>specified in the BDS</b> following commencement of the use of the goods by the Purchaser.
<b>18 Documents Establishing the Qualifications of the Bidder</b>	18.1	<p>The documentary evidence of the Bidder's qualifications to perform the contract if its bid is accepted shall establish to the Purchaser's satisfaction:</p> <ul style="list-style-type: none"> <li>(a) A Bidder that does not manufacture or produce the Goods it offers to supply shall submit the Manufacturer's Authorization if required in the BDS, using the form included in Section IV, Bidding Forms to demonstrate that it has been duly authorized by the manufacturer or producer of the Goods to supply these Goods;</li> <li>(b) that, if <b>required in the BDS</b>, in case of a Bidder not doing business within Sri Lanka, the Bidder is or will be (if awarded the contract) represented by an Agent in Sri Lanka equipped and able to carry out the Supplier's maintenance, repair and spare parts-stocking obligations prescribed in the Conditions of Contract and/or Technical Specifications; and</li> <li>(c) That the Bidder meets each of the qualification criterion specified in Section III, Evaluation and Qualification Criteria.</li> </ul>
<b>19 Period of Validity of Bids</b>	19.1	Bids shall remain valid until the date <b>specified in the BDS</b> . A bid valid for a shorter date shall be rejected by the Purchaser as non-responsive.
	19.2	In exceptional circumstances, prior to the expiration of the bid validity date, the Purchaser may request bidders to extend the period of validity of their bids. The request and the responses shall be made in writing. If a Bid Security is requested in accordance with ITB Clause 20, it shall also be extended for a corresponding period. A Bidder may refuse the request without forfeiting its Bid Security. A Bidder granting the request shall not be required or permitted to modify its bid.
<b>20 Bid Security</b>	20.1	The Bidder shall furnish as part of its bid, a Bid Security or a Bid-Securing Declaration, as specified in the BDS.
	20.2	<p>The Bid Security shall be in the amount specified in the BDS and denominated in Sri Lanka Rupees, and shall:</p> <ul style="list-style-type: none"> <li>(a) At the bidder's option, be in the form of either a bank draft, a letter of credit, or a bank guarantee from a banking institution;</li> <li>(b) Be issued by a institution acceptable to Purchaser.</li> <li>(c) Be substantially in accordance with the form included in Section IV, Bidding Forms;</li> </ul>

- (d) Be payable promptly upon written demand by the Purchaser in case the conditions listed in ITB Clause 20.5 are invoked;
  - (e) Be submitted in its original form; copies will not be accepted;
  - (f) Remain valid for the period specified in the BDS.
- 20.3 Any bid not accompanied by a substantially responsive Bid Security or Bid Securing Declaration in accordance with ITB Sub-Clause 20.1 and 20.2, may be rejected by the Purchaser as non-responsive.
- 20.4 The Bid Security of unsuccessful Bidders shall be returned as promptly as possible upon the successful Bidder's furnishing of the Performance Security pursuant to ITB Clause 43.
- 20.5 The Bid Security may be forfeited or the Bid Securing Declaration executed:
- (a) if a Bidder withdraws its bid during the period of bid validity specified by the Bidder on the Bid Submission Form, except as provided in ITB Sub-Clause 19.2; or
  - (b) If a Bidder does not agree to correction of arithmetical errors in pursuant to ITB Sub-Clause 30.3
  - (c) If the successful Bidder fails to:
    - (i) Sign the Contract in accordance with ITB Clause 42;
    - (ii) Furnish a Performance Security in accordance with ITB Clause 43.

## **21 Format and Signing of Bid**

- 21.1 The Bidder shall prepare one original of the documents comprising the bid as described in ITB Clause 11 and clearly mark it as "ORIGINAL." In addition, the Bidder shall submit a copy of the bid and clearly mark it as "COPY." In the event of any discrepancy between the original and the copy, the original shall prevail.
- 21.2 The original and the Copy of the bid shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Bidder.
- 21.3 Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialled by the person signing the Bid.

## **Submission and Opening of Bids**

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| <b>22 Submission, Sealing and Marking of Bids</b> | <p>22.1 Bidders may always submit their bids by mail or by hand.</p> <p>(a) Bidders submitting bids by mail or by hand, shall enclose the original and the copy of the Bid in separate sealed envelopes, duly marking the envelopes as “ORIGINAL” and “COPY.” These envelopes containing the original and the copy shall then be enclosed in one single envelope.</p> <p>22.2 The inner and outer envelopes shall:</p> <p>(a) Bear the name and address of the Bidder;</p> <p>(b) Be addressed to the Purchaser in accordance with ITB Sub-Clause 23.1;</p> <p>(c) Bear the specific identification of this bidding process as indicated in the BDS; and</p> <p>(d) Bear a warning not to open before the time and date for bid opening, in accordance with ITB Sub-Clause 26.1.</p> |
|   | <p>If all envelopes are not sealed and marked as required, the Purchaser will assume no responsibility for the misplacement or premature opening of the bid.</p>   |
| <b>23 Deadline for Submission of Bids</b>         | <p>23.1 Bids must be received by the Purchaser at the address and no later than the date and time <b>specified in the BDS</b>.</p> <p>23.2 The Purchaser may, at its discretion, extend the deadline for the submission of bids by amending the Bidding Documents in accordance with ITB Clause 8, in which case all rights and obligations of the Purchaser and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.</p>   |
| <b>24 Late Bids</b>                               | <p>24.1 The Purchaser shall not consider any bid that arrives after the deadline for submission of bids, in accordance with ITB Clause 23. Any bid received by the Purchaser after the deadline for submission of bids shall be declared late, rejected, and returned unopened to the Bidder.</p>  |
| <b>25 Withdrawal, and Modification of Bids</b>    | <p>25.1 A Bidder may withdraw, or modify its Bid after it has been submitted by sending a written notice in accordance with ITB Clause 22, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITB Sub-Clause 21.2, (except that no copies of the withdrawal notice are required). The corresponding substitution or modification of the bid must accompany the respective written notice. All notices must be:</p> <p>(a) submitted in accordance with ITB Clauses 21 and 22 (except that withdrawal notices do not require copies), and in</p>   |

addition, the respective envelopes shall be clearly marked “Withdrawal,” or “Modification;” and

- (b) received by the Purchaser prior to the deadline prescribed for submission of bids, in accordance with ITB Clause 23.

- 25.2 Bids requested to be withdrawn in accordance with ITB Sub-Clause 25.1 shall be returned to the Bidders only upon notification of contract award to the successful bidder in accordance with sub clause 41.1.
- 25.3 No bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of bids and the expiration of the period of bid validity specified by the Bidder on the Bid Submission Form or any extension thereof.

## 26 Bid Opening

- 26.1 The Purchaser shall conduct the bid opening in public at the address, date and time **specified in the BDS**.
- 26.2 First, envelopes marked “WITHDRAWAL” shall be opened and read out and the envelope with the corresponding bid may be opened at the discretion of the Purchaser. No bid withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at bid opening. Envelopes marked “MODIFICATION” shall be opened and read out with the corresponding Bid. No Bid modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at Bid opening. Only envelopes that are opened and read out at Bid opening shall be considered further.
- 26.3 All other envelopes shall be opened one at a time, reading out: the name of the Bidder and whether there is a modification; the Bid Prices, including any discounts and alternative offers; the presence of a Bid Security or Bid-Securing Declaration, if required; and any other details as the Purchaser may consider appropriate. Only discounts and alternative offers read out at Bid opening shall be considered for evaluation. No Bid shall be rejected at Bid opening except for late bids, in accordance with ITB Sub-Clause 24.1.
- 26.4 The Purchaser shall prepare a record of the Bid opening that shall include, as a minimum: the name of the Bidder and whether there is a withdrawal, or modification; the Bid Price, per lot if applicable, including any discounts, and the presence or absence of a Bid Security or Bid-Securing Declaration. The bids that were opened shall be resealed in separate envelopes, promptly after the bid opening. The Bidders’ representatives who are present shall be requested to sign the attendance sheet. A copy of the record shall be distributed to all Bidders who submitted bids in time.

## **Evaluation and Comparison of Bids**

- 27 Confidentiality**
- 27.1 Information relating to the examination, evaluation, comparison, and post-qualification (if applicable) of bids, and recommendation of contract award, shall not be disclosed to bidders or any other persons not officially concerned with such process until publication of the Contract Award.
- 27.2 Any effort by a Bidder to influence the Purchaser in the examination, evaluation, comparison, and post-qualification of the bids or contract award decisions may result in the rejection of its Bid.
- 27.3 Notwithstanding ITB Sub-Clause 27.2, if any Bidder wishes to contact the Purchaser on any matter related to the bidding process, from the time of bid opening to the time of Contract Award, it should do so in writing.
- 28 Clarification of Bids**
- 28.1 To assist in the examination, evaluation, comparison and post-qualification of the bids, the Purchaser may, at its discretion, request any Bidder for a clarification of its Bid. Any clarification submitted by a Bidder in respect to its Bid and that is not in response to a request by the Purchaser shall not be considered for purpose of evaluation. The Purchaser's request for clarification and the response shall be in writing. No change in the prices or substance of the Bid shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Purchaser in the Evaluation of the bids, in accordance with ITB Clause 30.
- 29 Responsiveness of Bids**
- 29.1 The Purchaser's determination of a bid's responsiveness is to be based on the contents of the bid itself.
- 29.2 A substantially responsive Bid is one that conforms to all the terms, conditions, and specifications of the Bidding Documents without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that:
- (a) Affects in any substantial way the scope, quality, or performance of the Goods and Related Services specified in the Contract; or
  - (b) Limits in any substantial way, inconsistent with the Bidding Documents, the Purchaser's rights or the Bidder's obligations under the Contract; or
  - (c) If rectified would unfairly affect the competitive position of other bidders presenting substantially responsive bids.
- 29.3 If a bid is not substantially responsive to the Bidding Documents, it shall be rejected by the Purchaser and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.

<b>30 Nonconformities, Errors, and Omissions</b>	<p>30.1 Provided that a Bid is substantially responsive, the Purchaser may waive any non-conformities or omissions in the Bid that do not constitute a material deviation.</p> <p>30.2 Provided that a bid is substantially responsive, the Purchaser may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the bid related to documentation requirements. Such omission shall not be related to any aspect of the price of the Bid. Failure of the Bidder to comply with the request may result in the rejection of its Bid.</p> <p>30.3 Provided that the Bid is substantially responsive, the Purchaser shall correct arithmetical errors on the following basis:</p> <ul style="list-style-type: none"> <li>(a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of the Purchaser there is an obvious misplacement of the decimal point in the unit price, in which case the line item total as quoted shall govern and the unit price shall be corrected;</li> <li>(b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and</li> <li>(c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.</li> </ul> <p>30.4 If the Bidder that submitted the lowest evaluated Bid does not accept the correction of errors, its Bid shall be disqualified and its Bid Security shall be forfeited or its Bid-Securing Declaration shall be executed.</p>
<b>31 Preliminary Examination of Bids</b>	<p>31.1 The Purchaser shall examine the bids to confirm that all documents and technical documentation requested in ITB Clause 11 have been provided, and to determine the completeness of each document submitted.</p> <p>31.2 The Purchaser shall confirm that the following documents and information have been provided in the Bid. If any of these documents or information is missing, the Bid shall be rejected.</p> <ul style="list-style-type: none"> <li>(a) Bid Submission Form, in accordance with ITB Sub-Clause 12.1;</li> <li>(b) Price Schedules, in accordance with ITB Sub-Clause 12;</li> <li>(c) Bid Security or Bid Securing Declaration, in accordance with ITB Clause 20.</li> </ul>

- 32 Examination of Terms and Conditions; Technical Evaluation**
- 32.1 The Purchaser shall examine the Bid to confirm that all terms and conditions specified in the CC and the **Contract Data** have been accepted by the Bidder without any material deviation or reservation.
  - 32.2 The Purchaser shall evaluate the technical aspects of the Bid submitted in accordance with ITB Clause 17, to confirm that all requirements specified in Section V, Schedule of Requirements of the Bidding Documents have been met without any material deviation or reservation.
  - 32.3 If, after the examination of the terms and conditions and the technical evaluation, the Purchaser determines that the Bid is not substantially responsive in accordance with ITB Clause 29, the Purchaser shall reject the Bid.
- 33 Conversion to Single Currency**
- 34.1 If the bidders are allowed to quote in foreign currencies in accordance with sub clause 15.1, for evaluation and comparison purposes, the Purchaser shall convert all bid prices expressed in foreign currencies in to Sri Lankan Rupees using the selling rates prevailed 28 days prior to closing of bids as published by the Central Bank of Sri Lanka. If this date falls on a public holiday the earliest working day prior to the date shall be applicable.
- 34 Domestic Preference**
- 34.1 Domestic preference shall be a factor in bid evaluation only if stated in the BDS. If domestic preference shall be a bid-evaluation factor, the methodology for calculating the margin of preference and the criteria for its application shall be as specified in Section III, Evaluation and Qualification Criteria.
- 35 Evaluation of Bids**
- 35.1 The Purchaser shall evaluate each bid that has been determined, up to this stage of the evaluation, to be substantially responsive.
  - 35.2 To evaluate a Bid, the Purchaser shall only use all the factors, methodologies and criteria defined in this ITB Clause 35.
  - 35.3 To evaluate a Bid, the Purchaser shall consider the following:
    - (a) the Bid Price as quoted in accordance with clause 14;
    - (b) Price adjustment for correction of arithmetic errors in accordance with ITB Sub-Clause 30.3;
    - (c) Price adjustment due to discounts offered in accordance with ITB Sub-Clause 14.2; and 14.3
    - (d) Adjustments due to the application of the evaluation criteria specified in the BDS from amongst those set out in Section III, Evaluation and Qualification Criteria;
    - (e) Adjustments due to the application of a domestic preference, in accordance with ITB Clause 34 if applicable.
  - 35.4 The Purchaser's evaluation of a bid may require the consideration of other factors, in addition to the factors listed in ITB Sub-Clause 35.3, if specified in BDS. These factors may be related to the characteristics, performance, and terms and conditions of purchase

		of the Goods and Related Services. The effect of the factors selected, if any, shall be expressed in monetary terms to facilitate comparison of bids
	35.5	If so specified in the BDS, these Bidding Documents shall allow Bidders to quote for one or more lots, and shall allow the Purchaser to award one or multiple lots to more than one Bidder. The methodology of evaluation to determine the lowest-evaluated lot combinations, is specified in Section III, Evaluation and Qualification Criteria.
<b>36 Comparison of Bids</b>	36.1	The Purchaser shall compare all substantially responsive bids to determine the lowest-evaluated bid, in accordance with ITB Clause 35.
<b>37 Post qualification of the Bidder</b>	37.1	The Purchaser shall determine to its satisfaction whether the Bidder that is selected as having submitted the lowest evaluated and substantially responsive bid is qualified to perform the Contract satisfactorily.
	37.2	The determination shall be based upon an examination of the documentary evidence of the Bidder's qualifications submitted by the Bidder, pursuant to ITB Clause 18.
	37.3	An affirmative determination shall be a prerequisite for award of the Contract to the Bidder. A negative determination shall result in disqualification of the bid, in which event the Purchaser shall proceed to the next lowest evaluated bid to make a similar determination of that Bidder's capabilities to perform satisfactorily.
<b>38 Purchaser's Right to Accept Any Bid, and to Reject Any or All Bids</b>	38.1	The Purchaser reserves the right to accept or reject any bid, and to annul the bidding process and reject all bids at any time prior to contract award, without thereby incurring any liability to Bidders.
	<b>Award of Contract</b>	
<b>39 Award Criteria</b>	39.1	The Purchaser shall award the Contract to the Bidder whose offer has been determined to be the lowest evaluated bid and is substantially responsive to the Bidding Documents, provided further that the Bidder is determined to be qualified to perform the Contract satisfactorily.
<b>40 Purchaser's Right to Vary Quantities at Time of Award</b>	40.1	At the time the Contract is awarded, the Purchaser reserves the right to increase or decrease the quantity of Goods and Related Services originally specified in Section V, Schedule of Requirements, provided this does not exceed fifteen percent (15%) of the total quantity and without any change in the unit prices or other terms and conditions of the bid and the Bidding Documents.

- 41 Notification of Award**
- 41.1 Prior to the expiration of the period of bid validity, the Purchaser shall notify the successful Bidder, in writing, that its Bid has been accepted.
  - 41.2 Until a formal Contract is prepared and executed, the notification of award shall constitute a binding Contract.
  - 41.3 Upon the successful Bidder's furnishing of the signed Contract Form and performance security pursuant to ITB Clause 43, the Purchaser will promptly notify each unsuccessful Bidder and will discharge its bid security, pursuant to ITB Clause 20.4.
- 42 Signing of Contract**
- 42.1 Within Seven (7) days after notification, the Purchaser shall complete the Agreement, and inform the successful Bidder to sign it.
  - 42.2 Within Seven (7) days of receipt of such information, the successful Bidder shall sign the Agreement.
- 43 Performance Security**
- 43.1 Within fourteen (14) days of the receipt of notification of award from the Purchaser, the successful Bidder, if required, shall furnish the Performance Security in accordance with the CC, using for that purpose the Performance Security Form included in Section VIII Contract forms. The Employer shall promptly notify the name of the winning Bidder to each unsuccessful Bidder and discharge the Bid Securities of the unsuccessful bidders pursuant to ITB Sub-Clause 20.4.
  - 43.2 Failure of the successful Bidder to submit the above-mentioned Performance Security or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Bid Security or execution of the Bid-Securing Declaration. In that event the Purchaser may award the Contract to the next lowest evaluated Bidder, whose offer is substantially responsive and is determined by the Purchaser to be qualified to perform the Contract satisfactorily.

## Section II. Bidding Data Sheet (BDS)

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The following specific data for the product to be procured shall complement, supplement, or amend the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in ITB.

ITB Clause Reference	<b>A. General</b>
<b>ITB 1.1</b>	The Purchaser is: <b>General Manager, State Mortgage and Investment Bank.</b>
<b>ITB 1.1</b>	The name and identification number of the Contract are:  <b>“SUPPLY, IMPLEMENTATION, COMMISSIONING AND MAINTENANCE OF DATA CLASSIFICATION &amp; DATA LEAKAGE PREVENTION SOLUTION”</b>  IFB NO: SMIB/TD/2026/01/08  <b>To qualify for evaluation, bidders are required to bid for 100% of the items and quantities specified. Partial bids shall be treated as non-responsive and shall be rejected.</b>
<b>ITB 2.1</b>	The source of funding is: Government of Sri Lanka (GoSL)
<b>B. Contents of Bidding Documents</b>	
<b>ITB 7.1</b>	For <u>Clarification of bid purposes</u> only, the Purchaser's address is:  <b>Attention:</b> Chief Manager (HR and Logistics)  <b>Address:</b> State Mortgage and Investment Bank, No. 269, Galle Road, Colombo 03 Telephone: 011-7722879/723 e-mail: <a href="mailto:cmhrlog@smib.lk">cmhrlog@smib.lk</a>  Clarifications may be requested <b>not later than 07 days</b> before the deadline for submission of bids.

	<b>C. Preparation of Bids</b>						
<b>ITB 13.1</b>	Alternative bids shall not be considered. Options are not allowed the bids submitted with options shall be treated as non-responsive and shall be rejected.						
	<p><b>The bidder shall quote following minimum quantities:</b></p> <p>Location – State Mortgage and Investment Bank</p> <table border="1"> <thead> <tr> <th style="text-align: center;"><b>Item No.</b></th> <th style="text-align: center;"><b>Description of Item</b></th> <th style="text-align: center;"><b>Quantity /Units</b></th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1</td><td>Supply, Implementation, Commissioning and Maintenance of Data Classification &amp; Data Leakage Prevention Solution</td><td style="text-align: center;">350</td></tr> </tbody> </table>	<b>Item No.</b>	<b>Description of Item</b>	<b>Quantity /Units</b>	1	Supply, Implementation, Commissioning and Maintenance of Data Classification & Data Leakage Prevention Solution	350
<b>Item No.</b>	<b>Description of Item</b>	<b>Quantity /Units</b>					
1	Supply, Implementation, Commissioning and Maintenance of Data Classification & Data Leakage Prevention Solution	350					
<b>ITB 18.1 (a)</b>	Manufacturer's Authorization Certificates are required for SIEM solution.						
<b>ITB 19.1</b>	The bid validity period shall be Ninety days (90) days from the date of bid closure; accordingly, the bid shall be valid until <b>20, May 2026</b> .						
<b>ITB 20.1</b>	Bid shall include a Bid Security issued by a commercial bank licensed by the Central Bank of Sri Lanka in the format prescribed in <b>Section IV</b> “Bidding Forms – Bid Guarantee”						
<b>ITB 20.2</b>	<p>The amount of the Bid Security shall be as follows;</p> <p><b>Sri Lankan Rupees two hundred and twenty-five thousand only. (225,000)</b></p> <p>Bid Security shall be issued in favor of;</p> <p><b>General Manager</b>            State Mortgage and Investment Bank,            No. 269, Galle Road,            Colombo 03,            Sri Lanka.</p>						
<b>ITB 20.2 (f)</b>	Bid Security shall be valid for a period of 30 days beyond the original validity period of bids up to <b>June 19, 2026</b> , or beyond any period of extension subsequently requested under ITB clause 19.						
	<b>D. Submission and Opening of Bids</b>						
<b>ITB 22.2 (c)</b>	<p>The inner and outer envelopes shall bear the following identification marks:</p> <p><b>Supply, Implementation, Commissioning and Maintenance of Data Classification &amp; Data Leakage Prevention Solution.</b></p> <p>Contract Number: <b>SIMB/TD/2026/01/08</b></p>						

<b>ITB 23.1</b>	<p>For bid submission purposes, the Purchaser's address is:</p> <p>Attention: Chief Manager (HR and Logistics)</p> <p>Address: <b>State Mortgage and Investment Bank., No. 269, Galle Road, Colombo 03, Sri Lanka.</b></p> <p>The deadline for the submission of bids is:</p> <p><b>Date: February 19, 2026</b></p> <p><b>Time: 1500 hrs</b></p>
<b>ITB 26.1</b>	<p>The bid opening shall take place at:</p> <p>Address: State Mortgage and Investment Bank., No. 269, Galle Road, Colombo 03, Sri Lanka.</p> <p><b>Date: February 19, 2026</b></p> <p><b>Time: 1500 hrs</b> (immediately after deadline for bid submission)</p>
<b>ITB 34.1</b>	<p>Not applicable</p>
<b>ITB 43</b>	<p>The Performance Security acceptable to the Employer shall be the in the Standard Form of unconditional Bank Guarantee (as per the format given in the Bidding Document) issued by any commercial bank licensed by the Central Bank of Sri Lanka and the amount shall be 10% of the total contract price. Performance security shall be submitted within 14 working days of the date of notification of award from the employer.</p>

## **Section III. Evaluation and Qualification Criteria**

This Section complements the Instructions to Bidders. It contains the criteria that the Purchaser use to evaluate a bid and determine whether a Bidder has the required qualifications.

### **Contents**

1. Evaluation Criteria (ITB 35.3 (d))
2. Post-qualification Requirements (ITB 37.2)

## **1. Evaluation Criteria (ITB 35.3 (d))**

The Purchaser's evaluation of a bid will be based on Evaluated Bid Price.

## **2. Post – Qualification Requirements (ITB 37.2)**

After determining the lowest-evaluated bid in accordance with ITB Sub-Clause 36.1, the Purchaser shall carry out the post qualification of the Bidder in accordance with ITB Clause 37, using the following requirements.

### **(a) Financial Capability**

- i. The Bidder shall furnish documentary evidence that it meets the following financial requirements:
- ii. Minimum average annual turnover in last three (3) years shall be 1.5 times of the bid price.
- iii. The bidder must demonstrate access to or availability of financial resources such as liquid assets, un-encumbered real assets, line of credit and other financial means, other than any contractual advance payment to meet the cash flow requirement of not less than Sri Lanka Rupees Fifteen Million (LKR 15 Million) or equivalent, and net of the bidder's other commitments for this project.
- iv. Audited statements of accounts of the company for the past three (03) years shall be submitted with the bid.

### **(b) Commercial and Technical Capability**

The Bidder shall furnish documentary evidence to demonstrate that it meets the following requirements;

- i. Bidder shall be a legally registered company in Sri Lanka and has been in operation for the last five (05) years.
- ii. The bidder must provide a detailed list of similar projects or orders successfully completed during the last three (03) years, ending on the bid submission deadline. The bidder should have successfully implemented at least three (03) similar projects (Data Leakage Prevention) within this period.
- iii. Bidders shall have technically competent as the authorized dealers/suppliers for brands/products offered in the bid for the last 3 years in supply, delivery installation, providing warranty, support and maintenance.
- iv. Documentary evidence to establish conformity of the goods/services to the technical specifications/standards in the bidding documents along with the Technical Specification Form.

## **Section IV. Bidding Forms**

### **Table of Forms**

1. Bid Submission Form
2. Price Schedule
3. Bid Guarantee
4. Manufacturer's Authorization

## Bid Submission Form

*[The Bidder shall fill in this Form in accordance with the instructions indicated. No alterations to its format shall be permitted and no substitutions shall be accepted.]*

Date: *[insert date (as day, month and year) of Bid Submission]*

No.: *[insert number of bidding process]*

To: *[\* insert complete name of Purchaser]*

We, the undersigned, declare that:

- (a) We have examined and have no reservations to the Bidding Documents, including Addenda No.: *[insert the number and issuing date of each Addenda]*;
- (b) We offer to supply in conformity with the Bidding Documents and in accordance with the Delivery Schedules specified in the Schedule of Requirements the following Goods and Related Services *[\* insert a brief description of the Goods and Related Services]*;
- (c) The total price of our Bid without VAT, including any discounts offered is: *[insert the total bid price in words and figures]*;
- (d) The total price of our Bid including VAT, and any discounts offered is: *[insert the total bid price in words and figures]*;
- (e) Our bid shall be valid for the period of time specified in ITB Sub-Clause 19.1, from the date fixed for the bid submission deadline in accordance with ITB Sub-Clause 23.1, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- (f) If our bid is accepted, we commit to obtain a performance security in accordance with ITB Clause 43 and CC Clause 17 for the due performance of the Contract;
- (g) We have no conflict of interest in accordance with ITB Sub-Clause 4.3;
- (h) Our firm, its affiliates or subsidiaries—including any subcontractors or suppliers for any part of the contract—has not been declared blacklisted by the National Procurement Agency;
- (k) We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed.
- (l) We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive.

Signed: *[insert signature of person whose name and capacity are shown]*

In the capacity of *[insert legal capacity of person signing the Bid Submission Form]*

Name: *[insert complete name of person signing the Bid Submission Form]*

Duly authorized to sign the bid for and on behalf of: *[insert complete name of Bidder]*

Dated on \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ *[insert date of signing]*

## Price Schedule

Supply, Implementation, Commissioning and Maintenance of Data Classification & Data Leakage Prevention Solution Service Providers  
 Implementation Cost

No.	Cost Component (Please add additional cost components based on proposed solution)	Unit (1)	No of units (2)	Unit Cost (Excluding VAT) (LKR) (3)	Cost Year 1 (Excluding VAT) (LKR) (4)	Cost Year 2 (Excluding VAT) (LKR) (5)	Cost Year 3 (Excluding VAT) (LKR) (5)	Total cost- (Excluding VAT) (LKR) (4)+(5)+(6)
<b>1</b>	<b>Consultancy</b>							
1.1	Data Classification Framework: Existing environment study, Design, Development, Implementation and Training.	1						
<b>2</b>	<b>Licensing</b>							
2.1	Supply of Data Classification & Data Leakage Prevention (DLP) Solution/Tool	350						
<b>3</b>	<b>Professional Services</b>							
3.1	Implementation, Commissioning and Configuration of Data	350						

	Classification & Data Leakage Prevention (DLP) Solution						
<b>4</b>	Annual Maintenance	1					
<b>5</b>	Any Other Software Components (Eg: OS Licensing, Replication Licensing etc.)						
	Total Amount						

## Bid Guarantee

*[this Bank Guarantee form shall be filled in accordance with the instructions indicated in brackets]*

----- *[insert issuing agency's name, and address of issuing branch or office]* -----

**\*Beneficiary:** ----- *[ name and address of Purchaser]*

**Date:** ----- *[insert (by issuing agency) date]*

**BID GUARANTEE No.:** ----- *[insert (by issuing agency) number]*

We have been informed that ----- *[insert (by issuing agency) name of the Bidder; if a joint venture, list complete legal names of partners]* (hereinafter called "the Bidder") has submitted to you its bid dated ----- *[insert (by issuing agency) date]* (hereinafter called "the Bid") for the supply of *[insert name of Supplier]* under Invitation for Bids No. ----- *[insert IFB number]* ("the IFB").

Furthermore, we understand that, according to your conditions, Bids must be supported by a Bid Guarantee.

At the request of the Bidder, we ----- *[insert name of issuing agency]* hereby irrevocably undertake to pay you any sum or sums not exceeding in total an amount of ----- *[insert amount in figures]* ----- *[insert amount in words]*) upon receipt by us of your first demand in writing accompanied by a written statement stating that the Bidder is in breach of its obligation(s) under the bid conditions, because the Bidder:

- (a) has withdrawn its Bid during the period of bid validity specified; or
- (b) does not accept the correction of errors in accordance with the Instructions to Bidders (hereinafter "the ITB"); or
- (c) having been notified of the acceptance of its Bid by the Purchaser during the period of bid validity, (i) fails or refuses to execute the Contract Form, if required, or (ii) fails or refuses to furnish the Performance Security, in accordance with the ITB.

This Guarantee shall expire: (a) if the Bidder is the successful bidder, upon our receipt of copies of the Contract signed by the Bidder and of the Performance Security issued to you by the Bidder; or (b) if the Bidder is not the successful bidder, upon the earlier of (i) our receipt of a copy of your notification to the Bidder that the Bidder was unsuccessful, otherwise it will remain in force up to ----- *(insert date)*

Consequently, any demand for payment under this Guarantee must be received by us at the office on or before that date.

*[signature(s) of authorized representative(s) ]*

## Manufacturer's Authorization

*[The Bidder shall require the Manufacturer to fill in this Form in accordance with the instructions indicated. This letter of authorization should be on the letterhead of the Manufacturer and should be signed by a person with the proper authority to sign documents that are binding on the Manufacturer. The Bidder shall include it in its bid, if so indicated in the BDS.]*

Date: *[insert date (as day, month and year) of Bid Submission]*  
No.: *[insert number of bidding process]*

To: *[insert complete name of Purchaser]*

WHEREAS

We *[insert complete name of Manufacturer]*, who are official manufacturers of *[insert type of goods manufactured]*, having factories at *[insert full address of Manufacturer's factories]*, do hereby authorize *[insert complete name of Bidder]* to submit a bid the purpose of which is to provide the following Goods, manufactured by us *[insert name and or brief description of the Goods]*, and to subsequently negotiate and sign the Contract.

We hereby extend our full guarantee and warranty in accordance with Clause 27 of the Conditions of Contract, with respect to the Goods offered by the above firm.

Signed: *[insert signature(s) of authorized representative(s) of the Manufacturer]*

Name: *[insert complete name(s) of authorized representative(s) of the Manufacturer]*

Title: *[insert title]*

Duly authorized to sign this Authorization on behalf of: *[insert complete name of Bidder]*

Dated on \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ *[insert date of signing]*

## **Section V.**

### **Schedule of Requirements**

1. List of Goods and Delivery Schedule
2. Technical Specifications
3. Inspection and Testing

## 1. List of Goods and Delivery Schedule

Item No	Description of Goods	Quantity Units	Final (Project Site) Destination as specified in BDS	Delivery and Installation/Implementation	Related Services
1	<p><b>Phase 01</b></p> <p>Data Classification Framework: Existing environment study, Design, Development, Implementation and Training.</p>	1	SMIB Head Office, DR Site and all the branchers	12 weeks from the date of letter of award.	<p>Study the existing policies, procedures of SMIB and applicable laws and regulations.</p> <p>Development of Data classification framework.</p> <p>Training of all SMIB Staff to Classify the data based on the developed framework.</p> <p>Review and validate the data classified by the SMIB staff and completion of data classification.</p>
2	<p><b>Phase 02</b></p> <p>Supply, Implementation, configuration, Commissioning and Maintenance of Data Classification &amp; Data Leakage Prevention Solution</p>	350	SMIB Head Office, DR Site and all the branchers	Within 8 weeks from the completion of <b>phase 01</b> .	Supply, delivery, Installation, Configuration, Commissioning and Training

## 2. Technical Specifications

Bidders are required to state their compliance to specifications/requirements against each and every criterion of the specification sheets. Incomplete specification compliance sheets shall be considered non- responsive

### 2.1 Data Leakage Prevention (DLP) Solution

S. No.	General Requirements	Bidder's Offer		Technical Reference Number (Page No.)
		Yes/ No	If "No" indicate your offer	
1	The solution and services in scope should be built with sufficient redundancy and fault tolerance [Necessary hardware and replication tools will be provided by SMIB]			
2	The solution should not have a substantial impact on the current infrastructure during installation or operation.			
3	The system should allow for central management of incidents that arise from email, endpoints, network, and discovery			
4	The solution should be able to block or quarantine outbound emails sent via O365 SMTP if it violates the policy without an agent			
5	The suggested solution should act as a Mail Transfer Agent, receiving emails from the mail server and inspecting the content before forwarding them to the next hop (mail gateway), as well as quarantining emails that violate business policy, all of which should be accomplished on the same solution			
6	All essential licenses should be included, and administration should be done through the same centralized management platform			
<b>Endpoint Data Monitoring and Protection</b>				
7	Even if the solution is away from the corporate network, it should be able to monitor data transferred to network file shares and apply structured and unstructured fingerprint policies.			
8	In addition to blocking of external devices should have capability whitelist and blacklist of external devices (DLP policy activated). Information copied to			

	removable media should be encrypted by the endpoint solution. It should enable both native and portable encryption, and it should be possible to administer encryption and DLP policies from the same console.			
9	Endpoint solutions should support 64-bit operating systems, as well as a wide range of platforms.			
10	Endpoint agent should have possibility to log and shadow all copied to USB files, printed files, copied to File Share and sent over the network files [Necessary storages will be provided by SMIB].			
11	Endpoint TCP inspection should work without using a separate plugin.			
12	System should be able to create separate set of rules for USB and File-Share channels.			
13	System should be able to restrict usage of Bluetooth network cards.			
14	System should inform security office in case of new USB inserted into the employee PC.			
15	Agent should have possibility to restrict file copy to the USB device based on QTY of the files or QTY of information in Mb. This rule should block file copy after limit is reached for specified time			
16	Agent should have possibility to block MTP/PTP protocol usage for employees.			
17	The proposed solution should allow off-premises DLP prevention possibility at least for printers.			
18	Agent should have possibility to work in stealth mode.			
19	Agent should have possibility to work in tamper proof mode.			
20	System should be able to enable screen watermark for user			
<b>Data Identification and Policy management</b>				
21	A thorough collection of pre-defined policies, templates, and patterns for identifying and classifying information should be included in the solution			
22	The solution should be able to identify data using keywords or patterns, as well as enforce restrictions based on file kinds, file sizes, and file names.			

23	The solution should be able to detect and take action on encrypted and password-protected files without reading the encrypted content			
24	The solution should be able to perform a full binary fingerprint on files as well as identify partial information leakage from fingerprinted files or directories; fingerprint hash should be minimum 64bits			
25	The solution should be able to analyze compressed archive content in a recursive manner			
26	The solution should be able to fingerprint just specified fields or columns inside a database and identify information from databases by correlating data in distinct columns.			
27	The solution should be able to identify and prevent data leaks through the print channel.			
28	The solution should enact measures to identify data breaches that are small and sluggish.			
29	HTTP, HTTPS, SSH, RDP, SMTP, SCP, FTP, SFTP, and Webmail should all be intercepted by the endpoint agent			
30	The solution should be able to identify Optical Character Recognition (OCR) in real-time			
31	The solution should be able to enforce regulations to identify data breaches even in picture files via email channels using OCR technology			
32	Based on the user's Incident patterns, the solution should be able to generate risk rankings.			
33	The proposed DLP solution must be GDPR and PDPA compliant, with specific Personal Identifiable Information (PII).			
34	OCR should build in inside the components of the solution not a separate server.			
35	OCR should be applicable for all three: data in use, data in motion and data at rest. It should work for endpoint agent for all features: network interception, USB interception, Printing etc.			
36	Agent should be able to intercept and apply OCR inspection for printed			

	through Adobe Acrobat images in pdf format			
<b>Automated Response and Incident Management</b>				
37	When a policy violation occurs, the solution should be able to alert and inform the sender, sender's manager, and policy owner. Different communication templates for different audiences should be allowed			
38	The solution should offer quarantine as a response to email policy violations			
39	The incident should include a clear indication of how the transmission or file violated policy (rather than just which policy was violated), as well as a clear identification of which content triggered the match, and the ability to open the original attachment directly from the user interface			
40	For all network and endpoint channels, the incident should indicate the sender's complete identity (full name, department, manager name, etc.) as well as the transmission destination. In addition, the system should allow events to be assigned to single incident management			
41	When a new incident is assigned to incident management, the solution should send them an automated notice, and the incident should not be deleted by anybody, even the product administrator			
42	The system should enable a dedicated incident manager to handle problems involving particular policy breaches, user groups, and so on			
<b>Role-Based Access and Privacy Control</b>				
43	Incident access should be controlled by the system based on the role and policy that was violated. The system should also allow for the creation of a role that does not have access to the user's identity or the incident's forensics.			
44	For data at rest, in motion, or at the endpoint, the system should define different roles for technical management of servers, user administration, policy formulation and			

	amendment incident remedy, and incident viewing			
45	The system should allow users to define roles that allow them to view summary reports, trend reports, and high-level data but not individual events			
46	The system should allow incident managers and administrators to log into the console using their Active Directory credentials			
47	Two factor authentication should be supported for login to Console			
<b>Reporting and Analytics</b>				
48	The solution should have a dashboard view for executives that combines data in motion (network), data at rest (storage), and data at the endpoint into a unified view			
49	The system should allow reports to be emailed directly from the user interface, as well as an automated report schedule for certain recipients			
50	The system should have a large number of pre-built reports that administrators may use.			
<b>Storage (data at rest)</b>				
51	During data discovery scans, the proposed solution should enable automated file moving or relocation to a predefined location. File deletion should also be supported if necessary			
52	For files discovered to be in violation of policy, the system should report the original file location as well as policy match information			
53	To limit the amount of data to be scanned, the system should provide incremental scanning during discovery			
<b>Supports Third part recognitions</b>				
54	The vendor must provide extensive hands-on training on how to operate the technology provided			
55	The solution should be able to transmit user logs to a SIEM			
<b>Data Classification</b>				
56	The solution must conduct Real-Time Data Classification on data in motion and at rest while also enforcing data security regulations automatically.			

57	Proposed solution to offer both classification and Data Loss Prevention (DLP) features (preferably through a single agent – please mention)			
59	The solution should be able to force user to classify and classification based on content			
60	When documents and emails are first produced, the solution should label them. Existing documents in data repositories must be scanned for sensitive information. On-premise data storage should be used; categorized and labelled according to a Data Classification Policy that has been agreed			
61	Documents should be labelled with visual markings such as watermarks, headers, and footers as part of the solution. Electronically marking the files will be required			
62	System should prevent print screen action for classified Microsoft Office and PDF documents			
63	Solution should control file copy operation block it or log, based on the classification of the File for Microsoft Office and PDF documents.			
64	The solution should be able to track policy warnings and violations and provide options for reporting through email, or console view.			
65	The solution must be able to generate on-demand, daily, weekly, and trending reports that indicate all policy breaches and warnings, as well as trending over time. The reports should include an examination of automatically categorized papers as well as a historical perspective of all document			
66	The system should have auditing tools to verify that documents are categorized accurately and consistently in the future			
67	The solution should have real time classification possibility after file is created, modified, downloaded, copied system should apply classification based on content			

68	The vendor will be in charge of the solution's deployment and support, as well as trainings for system administrators. By presenting a chart or graphic, the vendor should give a complete project plan of the activities necessary in the implementation process, including all tasks, milestones, and deadlines.			
69	The solution should be able to search for certain keywords and regular expressions and classify them appropriately.			
70	The solution should allow users to customize visual markers in emails and documents (e.g. font, size, color, and content)			
71	The solution should allow for real-time automated file categorization when files are downloaded and saved to certain folders (e.g. Downloads, My Documents, Desktop), with classification based on file content, file type, file size, file name, file path, and any combination of these criteria			
72	The solution should classify printed documents			
73	The solution should restrict sending of classified emails only to specific domains. Example: top secret email can be sent only within @test.com domain			
74	The solution should be able to scan and classify specific files with a minimum effort such as a right click.			
75	The solution should be able to classify images based on the content.			
<b>Information Protection</b>				
76	The solution should have the ability to check for external recipients who have been designated in an email and alert/prevent the user from sending the email. For example, if external recipients are marked in an email containing an internally classified document as an attachment, the email should not be delivered. An alert should be sent to the user as well			
77	The solution should allow users to be warned or prevented from			

	downgrading or altering their categorization.			
78	The solution should have the ability to downgrade, upgrade, and alter classification only for particular users and AD groups			
79	The solution should allow for the restriction of email based on the sender. For example, one user may be allowed to transfer critical information outside the company, while others are not. The sender's email, name, AD characteristics, or group membership may be used to make the policy choice			
80	The system should allow for policy combinations to enable more sophisticated use cases, such as determining whether a document contains regulatory data and then preventing an unauthorized user from transmitting the document as an attachment through email			
81	For MS Outlook, the solution should have the option to prevent users from sending non-classified email attachments (i.e. attachments that have no classification)			
<b>Data Discovery</b>				
82	The solution should support the discovery and identification of large volumes of data, stored both on-premise and cloud. This includes the scanning of network file shares, SharePoint (on-premise and Online), as well as cloud storage providers			
83	Data repositories should be scanned by DLP network discovery to find sensitive data at rest, such as local file systems on Windows, Linux, and other servers; NAS Storage; Microsoft, Outlook, Onedrive and MS SharePoint servers; SQL databases (Enterprise DB, MS SQL);			
84	The solution should allow administrators to create policies that include or exclude categorization.			
85	The solution should be able to move critical files from unsecured storage directories to safe storage folders automatically.			

86	The solution should allow for the collection of file information, such as file attributes, classification (pre- and post-scan), and access controls, during scans. This data inventory establishes the nature of the data, its location, and who has access to it.			
87	The solution should be able to actively monitor file shares in real-time, with the capacity to perform actions like logging, copying, moving, deleting, and classifying files newly created, modified, downloaded, or copied within the shared folders.			
<b>Auditing and Reporting</b>				
88	Depending on the situation, the solution should be able to deliver user logs to SIEM, Syslog server.			
89	A built-in dashboard for analyzing data discovery scanning findings for user activity, deployment, data storage trends, and data inventory should be included in the solution.			
90	Built-in reports and dashboards should be available to assess user activity.			
91	The proposed solution should include an audit log to track opened, deleted, and modified documents within shared locations.			
<b>Configuration and Deployment</b>				
92	For categorization configuration and policy maintenance, the system should provide a centralized, web-based Administration Console.			
93	System administrator should have built-in update and uninstallation mechanism without use of 3rd party tools or Microsoft AD group policies.			
94	System should have automated update scheduled search.			
95	The solution should be able to directly interface with Active Directory (AD), enforcing restrictions based on AD groups and allowing administrators to customize configurations for individual users or groups of users			
96	The solution should function with current versions of Windows OS, and the manufacturer should guarantee			

	compatibility with future Windows OS releases.			
97	The solution should work within virtual machine environments including VDI, Citrix Virtual Desktop, VMWare, and other virtual desktop technologies.			
98	System should have possibility to create custom groups without AD integration.			

**Note: Product Brochure(s) should be attached**

## 2.2 Additional Explanations

1. Hardware will be provided by State Mortgage and Investment bank.

## 2.3 DLP Solution Implementation & Support and Maintenance Team Composition

Key Consultant	Qualifications	Experience
Project Manager	B.Sc. in IT or related and Relevant Industry Certifications	Minimum 3 years of experience as a project manager in DLP project management.
Classification Configuration Engineer	M.Sc. in IT or related and Relevant Industry Certifications	Minimum 3 years of experience in similar work
GRC Manager	B.Sc. in IT or related and Relevant Industry Certifications	Minimum 3 years of experience in similar work
Implementation Engineer	B.Sc. in IT or related and Relevant Industry Certifications	Minimum 2 years of experience in similar projects
Network Security Engineer	B.Sc. in IT or related and Relevant Industry Certifications	Minimum 2 years of experience in similar projects
Support Engineer	B.Sc. in IT or related and Relevant Industry Certifications	Minimum 2 years of experience in similar projects

## 2.4 DLP Solution Implementation – SLA

### Phase 01

The successful bidder shall complete all consultancy tasks within twelve (12) weeks from the date of the Letter of Award. Failure to deliver the required items within this period shall render the supplier liable to pay liquidated damages at the rate of one percent (1%) of the total consultancy value for each complete week of delay. Such amounts will be deducted from any payments due to the supplier.

### Phase 02

The successful bidder shall supply, deliver, install, configure, and commission the required solution Within 8 weeks from the completion of phase 01. Failure to Supply, delivery, Installation, Configuration, Commissioning the required items within this period shall render the supplier liable to pay liquidated damages at the rate of one percent (1%) of the total contract value excluding consultancy value ([total contract value – total consultancy value] x 1%) for each complete week of delay, and such amounts will be deducted from the payment due to the supplier.

## 2.5 DLP Support and Maintenance – SLA

Bidder need to strictly adhere to Service Level Agreement (SLA) computed on parameters as per industry best practice. Services delivered by vendor should comply with the SLA mentioned in the table below. SLA will be calculated monthly. SLA violation will attract penalties.

Service Area	Acceptable Service Level	Penalty
DLP Solution's Uptime % calculated on monthly basis for DLP solution. In case of any hardware problems, the Bidder should ensure that replacement devices are made available to meet the SLAs.	System Availability 99.9 % and above	NA
	98% to 99.8	2 % of monthly AMC payment of year 1 AMC
	95% to 97.99%	3 % of monthly AMC payment of year 1 AMC
	90% to 94.99%	5 % of monthly AMC payment of year 1 AMC
	85% to 89.99%	7 % of monthly AMC payment of year 1 AMC

### **3. Inspections and Tests**

Inspection will be carried out based on the provided specification in Section V.

The purchaser will provide test cases for User Acceptance Testing (UAT) to be conducted once the necessary installations and configurations are completed. Final acceptance will be upon successful UAT.

## Section VI Conditions of Contract

### 1. Definitions

- 1.1 The following words and expressions shall have the meanings hereby assigned to them:
- (a) “Contract” means the Contract Agreement entered into between the Purchaser and the Supplier, together with the Contract Documents referred to therein, including all attachments, appendices, and all documents incorporated by reference therein.
  - (b) “Contract Documents” means the documents listed in the Contract Agreement, including any amendments thereto.
  - (c) “Contract Price” means the price payable to the Supplier as specified in the Contract Agreement, subject to such additions and adjustments thereto or deductions there from, as may be made pursuant to the Contract.
  - (d) “Day” means calendar day.
  - (e) “Completion” means the fulfilment of the supply of Goods to the destination specified and completion of the Related Services by the Supplier in accordance with the terms and conditions set forth in the Contract.
  - (f) “CC” means the Conditions of Contract.
  - (g) “Goods” means all of the commodities, raw material, machinery and equipment, and/or other materials that the Supplier is required to supply to the Purchaser under the Contract.
  - (h) “Purchaser” means the entity purchasing the Goods and Related Services, as specified in the Contract Data.
  - (i) “Related Services” means the services incidental to the supply of the goods, such as insurance, installation, training and initial maintenance and other such obligations of the Supplier under the Contract.
  - (j) “Subcontractor” means any natural person, private or government entity, or a combination of the above, to whom any part of the Goods to be supplied or execution of any part of the Related Services is subcontracted by the Supplier.
  - (k) “Supplier” means the natural person, private or government entity, or a combination of the above, whose bid to perform the Contract has been accepted by the Purchaser and is named as such in the Contract Agreement.
  - (l) “The Project Site,” where applicable, means the place named in the Contract Data.

- 2. Contract Documents** 2.1 Subject to the order of precedence set forth in the Contract Agreement, all documents forming the Contract (and all parts thereof) are intended to be correlative, complementary, and mutually explanatory. The Contract Agreement shall be read as a whole.
- 3. Fraud and Corruption** 3.1 The Government of Sri Lanka requires the Purchaser as well as bidders, suppliers, contractors, and consultants to observe the highest standard of ethics during the procurement and execution of such contracts. In pursuit of this policy:
- (i) “Corrupt practice” means offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of a public official in the procurement process or in contract execution;
  - (ii) “Fraudulent practice” means a misrepresentation or omission of facts in order to influence a procurement process or the execution of a contract;
  - (iii) “Collusive practice” means a scheme or arrangement between two or more bidders, with or without the knowledge of the Purchaser to establish bid prices at artificial, non-competitive levels; and
  - (iv) “Coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the procurement process or affect the execution of a contract.
- 4. Interpretation** 4.1 If the context so requires it, singular means plural and vice versa.
- 4.2 Entire Agreement
- The Contract constitutes the entire agreement between the Purchaser and the Supplier and supersedes all communications, negotiations and agreements (whether written or oral) of the parties with respect thereto made prior to the date of Contract.
- 4.3 Amendment
- No amendment or other variation of the Contract shall be valid unless it is in writing, is dated, expressly refers to the Contract, and is signed by a duly authorized representative of each party thereto.
- 4.4 Severability
- If any provision or condition of the Contract is prohibited or rendered invalid or unenforceable, such prohibition, invalidity or unenforceability shall not affect the validity or enforceability of any other provisions and conditions of the Contract.

<b>5. Language</b>	5.1 The Contract as well as all correspondence and documents relating to the Contract exchanged by the Supplier and the Purchaser, shall be written in English language. Supporting documents and printed literature that are part of the Contract may be in another language provided they are accompanied by an accurate translation of the relevant passages in the language specified, in which case, for purposes of interpretation of the Contract, this translation shall govern.
	5.2 The Supplier shall bear all costs of translation to the governing language and all risks of the accuracy of such translation, for documents provided by the Supplier.
<b>6. Joint Venture, Consortium or Association</b>	6.1 If the Supplier is a joint venture, consortium, or association, all of the parties shall be jointly and severally liable to the Purchaser for the fulfilment of the provisions of the Contract and shall designate one party to act as a leader with authority to bind the joint venture, consortium, or association. The composition or the constitution of the joint venture, consortium, or association shall not be altered without the prior consent of the Purchaser.
<b>7. Eligibility</b>	7.1 All goods supplied under this contract shall be complied with applicable standards stipulated by the Sri Lanka Standards Institute. In the absence of such standards, the Goods supplied shall be complied to other internationally accepted standards, such as British Standards.
<b>8. Notices</b>	8.1 Any notice given by one party to the other pursuant to the Contract shall be in writing to the address specified in the <b>Contract Data</b> . The term “in writing” means communicated in written form with proof of receipt.
	8.2 A notice shall be effective when delivered or on the notice’s effective date, whichever is later.
<b>9. Governing Law</b>	9.1 The Contract shall be governed by and interpreted in accordance with the laws of the Democratic Socialist Republic of Sri Lanka.
<b>10. Settlement of Disputes</b>	10.1 The Purchaser and the Supplier shall make every effort to resolve amicably by direct informal negotiation any disagreement or dispute arising between them under or in connection with the Contract.
	10.2 If, after twenty-eight (28) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the Purchaser or the Supplier may give notice to the other party of its intention to commence arbitration, as hereinafter provided, as to the matter in dispute, and no arbitration in respect of this matter may be commenced unless such notice is given. Any dispute or difference in respect of which a notice of intention to commence arbitration has been given in accordance with this Clause shall be finally settled by arbitration. Arbitration may be commenced prior to or after delivery of the Goods under the Contract. Arbitration proceedings shall be conducted in accordance with the Arbitration Act No:11 of 1995.

- 10.3 Notwithstanding any reference to arbitration herein,
- (a) the parties shall continue to perform their respective obligations under the Contract unless they otherwise agree; and
  - (b) The Purchaser shall pay the Supplier any monies due the Supplier.
- 11. Scope of Supply**
- 11.1 The Goods and Related Services to be supplied shall be as specified in the Schedule of Requirements.
- 12. Delivery and Documents**
- 12.1 Subject to CC Sub-Clause 32.1, the Delivery of the Goods and Completion of the Related Services shall be in accordance with the Delivery and Completion Schedule specified in the Schedule of Requirements. Where applicable the details of shipping and other documents to be furnished by the Supplier are specified in the **Contract Data**.
- 13. Supplier's Responsibilities**
- 13.1 The Supplier shall supply all the Goods and Related Services included in the Scope of Supply in accordance with CC Clause 11, and the Delivery and Completion Schedule, as per CC Clause 12.
- 14. Contract Price**
- 14.1 Prices charged by the Supplier for the Goods supplied and the Related Services performed under the Contract shall not vary from the prices quoted by the Supplier in its bid.
- 15. Terms of Payment**
- 15.1 The Contract Price, shall be paid as specified in the **Contract Data**.
- 15.2 The Supplier's request for payment shall be made to the Purchaser in writing, accompanied by invoices describing, as appropriate, the Goods delivered and Related Services performed, and by the documents submitted pursuant to CC Clause 12 and upon fulfilment of all other obligations stipulated in the Contract.
- 15.3 Payments shall be made promptly by the Purchaser, but in no case later than twenty-eight (28) days after submission of an invoice or request for payment by the Supplier, and after the Purchaser has accepted it.
- 16. Taxes and Duties**
- 16.1 The Supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted Goods to the Purchaser.
- 17. Performance Security**
- 17.1 If required as specified in the **Contract Data**, the Supplier shall, within fourteen (14) days of the notification of contract award, provide a performance security of Ten percent (10%) of the Contract Price for the performance of the Contract.
- 17.2 The proceeds of the Performance Security shall be payable to the Purchaser as compensation for any loss resulting from the Supplier's failure to complete its obligations under the Contract.
- 17.3 As specified in the **Contract Data**, the Performance Security, if required, shall be in Sri Lanka Rupees and shall be in the format

stipulated by the Purchaser in the **Contract Data**, or in another format acceptable to the Purchaser.

- 17.4 The Performance Security shall be discharged by the Purchaser and returned to the Supplier not later than twenty-eight (28) days following the date of Completion of the Supplier's performance obligations under the Contract, including any warranty obligations.

## **18. Copyright**

- 18.1 The copyright in all drawings, documents, and other materials containing data and information furnished to the Purchaser by the Supplier herein shall remain vested in the Supplier, or, if they are furnished to the Purchaser directly or through the Supplier by any third party, including suppliers of materials, the copyright in such materials shall remain vested in such third party.

## **19. Confidential Information**

- 19.1 The Purchaser and the Supplier shall keep confidential and shall not, without the written consent of the other party hereto, divulge to any third party any documents, data, or other information furnished directly or indirectly by the other party hereto in connection with the Contract, whether such information has been furnished prior to, during or following completion or termination of the Contract. Notwithstanding the above, the Supplier may furnish to its Subcontractor such documents, data, and other information it receives from the Purchaser to the extent required for the Subcontractor to perform its work under the Contract, in which event the Supplier shall obtain from such Subcontractor an undertaking of confidentiality similar to that imposed on the Supplier under CC Clause 19.
- 19.2 The Purchaser shall not use such documents, data, and other information received from the Supplier for any purposes unrelated to the contract. Similarly, the Supplier shall not use such documents, data, and other information received from the Purchaser for any purpose other than the performance of the Contract.
- 19.3 The above provisions of CC Clause 19 shall not in any way modify any undertaking of confidentiality given by either of the parties hereto prior to the date of the Contract in respect of the Supply or any part thereof.
- 19.4 The provisions of CC Clause 19 shall survive completion or termination, for whatever reason, of the Contract.

## **20. Subcontracting**

- 20.1 The Supplier shall notify the Purchaser in writing of all subcontracts awarded under the Contract if not already specified in the bid. Such notification, in the original bid or later shall not relieve the Supplier from any of its obligations, duties, responsibilities, or liability under the Contract.
- 20.2 Subcontracts shall comply with the provisions of CC Clauses 3 and 7.

<b>21. Specifications and Standards</b>	<p>21.1 Technical Specifications and Drawings</p> <p>(a) The Goods and Related Services supplied under this Contract shall conform to the technical specifications and standards mentioned in Section V, Schedule of Requirements and, when no applicable standard is mentioned, the standard shall be equivalent or superior to the official standards whose application is appropriate to the Goods' country of origin.</p> <p>(b) The Supplier shall be entitled to disclaim responsibility for any design, data, drawing, specification or other document, or any modification thereof provided or designed by or on behalf of the Purchaser, by giving a notice of such disclaimer to the Purchaser.</p> <p>(c) Wherever references are made in the Contract to codes and standards in accordance with which it shall be executed, the edition or the revised version of such codes and standards shall be those specified in the Schedule of Requirements. During Contract execution, any changes in any such codes and standards shall be applied only after approval by the Purchaser and shall be treated in accordance with CC Clause 32.</p>
<b>22. Packing and Documents</b>	<p>22.1 The Supplier shall pack the Goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the Contract.</p>
<b>23. Insurance</b>	<p>23.1 Unless otherwise specified in the <b>Contract Data</b>, the Goods supplied under the Contract shall be fully insured against loss or damage incidental to manufacture or acquisition, transportation, storage, and delivery.</p>
<b>24. Transportation</b>	<p>24.1 Unless otherwise specified in the <b>Contract Data</b>, responsibility for arranging transportation of the Goods shall be a responsibility of the supplier.</p>
<b>25. Inspections and Tests</b>	<p>25.1 The Supplier shall at its own expense and at no cost to the Purchaser carry out all such tests and/or inspections of the Goods and Related Services as are specified in the <b>Contract Data</b>.</p> <p>25.2 The inspections and tests may be conducted on the premises of the Supplier or its Subcontractor, at point of delivery, and/or at the Goods' final destination, or in another place as specified in the <b>Contract Data</b>. Subject to CC Sub-Clause 25.3, if conducted on the premises of the Supplier or its Subcontractor, all reasonable facilities and assistance, including access to drawings and production data, shall be furnished to the inspectors at no charge to the Purchaser.</p> <p>25.3 The Purchaser or its designated representative shall be entitled to attend the tests and/or inspections referred to in CC Sub-Clause</p>

- 25.2, provided that the Purchaser bear all of its own costs and expenses incurred in connection with such attendance including, but not limited to, all traveling and board and lodging expenses.
- 25.4 Whenever the Supplier is ready to carry out any such test and inspection, it shall give a reasonable advance notice, including the place and time, to the Purchaser. The Supplier shall obtain from any relevant third party or manufacturer any necessary permission or consent to enable the Purchaser or its designated representative to attend the test and/or inspection.
- 25.5 The Purchaser may require the Supplier to carry out any test and/or inspection not required by the Contract but deemed necessary to verify that the characteristics and performance of the Goods comply with the technical specifications codes and standards under the Contract, provided that the Supplier's reasonable costs and expenses incurred in the carrying out of such test and/or inspection shall be added to the Contract Price. Further, if such test and/or inspection impedes the progress of manufacturing and/or the Supplier's performance of its other obligations under the Contract, due allowance will be made in respect of the Delivery Dates and Completion Dates and the other obligations so affected.
- 25.6 The Supplier shall provide the Purchaser with a report of the results of any such test and/or inspection.
- 25.7 The Purchaser may reject any Goods or any part thereof that fail to pass any test and/or inspection or do not conform to the specifications. The Supplier shall either rectify or replace such rejected Goods or parts thereof or make alterations necessary to meet the specifications at no cost to the Purchaser, and shall repeat the test and/or inspection, at no cost to the Purchaser, upon giving a notice pursuant to CC Sub-Clause 25.4.
- 25.8 The Supplier agrees that neither the execution of a test and/or inspection of the Goods or any part thereof, nor the attendance by the Purchaser or its representative, nor the issue of any report pursuant to CC Sub-Clause 25.6, shall release the Supplier from any warranties or other obligations under the Contract.
- 26. Liquidated Damages**
- 26.1 Except as provided under CC Clause 31, if the Supplier fails to deliver any or all of the Goods by the Date(s) of delivery or perform the Related Services within the period specified in the Contract, the Purchaser may without prejudice to all its other remedies under the Contract, deduct from the Contract Price, as liquidated damages, a sum equivalent to the percentage specified in the **Contract Data** of the delivered price of the delayed Goods or unperformed Services for each week or part thereof of delay until actual delivery or performance, up to a maximum deduction of the percentage specified in those **Contract Data**. Once the maximum is reached, the Purchaser may terminate the Contract pursuant to CC Clause 34.

## **27. Warranty**

- 27.1 The Supplier warrants that all the Goods are new, unused, and of the most recent or current models, and that they incorporate all recent improvements in design and materials, unless provided otherwise in the Contract.
- 27.2 Subject to CC Sub-Clause 21.1(b), the Supplier further warrants that the Goods shall be free from defects arising from any act or omission of the Supplier or arising from design, materials, and workmanship, under normal use in the conditions prevailing in the country of final destination.
- 27.3 Unless otherwise specified in the **Contract Data**, the warranty shall remain valid for thirty six (36) months after the Goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the **Contract Data**.
- 27.4 The Purchaser shall give notice to the Supplier stating the nature of any such defects together with all available evidence thereof, promptly following the discovery thereof. The Purchaser shall afford all reasonable opportunity for the Supplier to inspect such defects.
- 27.5 Upon receipt of such notice, the Supplier shall, within the period specified in the **Contract Data**, expeditiously repair or replace the defective Goods or parts thereof, at no cost to the Purchaser.
- 27.6 If having been notified, the Supplier fails to remedy the defect within the period specified in the **Contract Data**, the Purchaser may proceed to take within a reasonable period such remedial action as may be necessary, at the Supplier's risk and expense and without prejudice to any other rights which the Purchaser may have against the Supplier under the Contract.

## **28. Patent Indemnity**

- 28.1 The Supplier shall, subject to the Purchaser's compliance with CC Sub-Clause 28.2, indemnify and hold harmless the Purchaser and its employees and officers from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of any nature, including attorney's fees and expenses, which the Purchaser may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright, or other intellectual property right registered or otherwise existing at the date of the Contract by reason of:
  - (a) the installation of the Goods by the Supplier or the use of the Goods in the country where the Site is located; and
  - (b) the sale in any country of the products produced by the Goods.

Such indemnity shall not cover any use of the Goods or any part thereof other than for the purpose indicated by or to be reasonably inferred from the Contract, neither any infringement resulting from the use of the Goods or any part thereof, or any products produced thereby in association or combination with any other

- equipment, plant, or materials not supplied by the Supplier, pursuant to the Contract.
- 28.2 If any proceedings are brought or any claim is made against the Purchaser arising out of the matters referred to in CC Sub-Clause 28.1, the Purchaser shall promptly give the Supplier a notice thereof, and the Supplier may at its own expense and in the Purchaser's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.
- 28.3 If the Supplier fails to notify the Purchaser within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Purchaser shall be free to conduct the same on its own behalf.
- 28.4 The Purchaser shall, at the Supplier's request, afford all available assistance to the Supplier in conducting such proceedings or claim, and shall be reimbursed by the Supplier for all reasonable expenses incurred in so doing.
- 28.5 The Purchaser shall indemnify and hold harmless the Supplier and its employees, officers, and Subcontractors from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of any nature, including attorney's fees and expenses, which the Supplier may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright, or other intellectual property right registered or otherwise existing at the date of the Contract arising out of or in connection with any design, data, drawing, specification, or other documents or materials provided or designed by or on behalf of the Purchaser.
- 29. Limitation of Liability**
- 29.1 Except in cases of criminal negligence or wilful misconduct,
- (a) the Supplier shall not be liable to the Purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the Supplier to pay liquidated damages to the Purchaser and
  - (b) the aggregate liability of the Supplier to the Purchaser, whether under the Contract, in tort or otherwise, shall not exceed the total Contract Price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment, or to any obligation of the supplier to indemnify the purchaser with respect to patent infringement
- 30. Change in Laws and Regulations**
- 30.1 Unless otherwise specified in the Contract, if after the date of 28 days prior to date of Bid submission, any law, regulation, ordinance, order or bylaw having the force of law is enacted, promulgated, abrogated, or changed in Sri Lanka that subsequently affects the Delivery Date and/or the Contract Price, then such Delivery Date and/or Contract Price shall be

correspondingly increased or decreased, to the extent that the Supplier has thereby been affected in the performance of any of its obligations under the Contract. Notwithstanding the foregoing, such additional or reduced cost shall not be separately paid or credited if the same has already been accounted for in the price adjustment provisions where applicable, in accordance with CC Clause 14.

- 31. Force Majeure**
- 31.1 The Supplier shall not be liable for forfeiture of its Performance Security, liquidated damages, or termination for default if and to the extent that its delay in performance or other failure to perform its obligations under the Contract is the result of an event of Force Majeure.
  - 31.2 For purposes of this Clause, “Force Majeure” means an event or situation beyond the control of the Supplier that is not foreseeable, is unavoidable, and its origin is not due to negligence or lack of care on the part of the Supplier. Such events may include, but not be limited to, acts of the Purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions, and freight embargoes.
  - 31.3 If a Force Majeure situation arises, the Supplier shall promptly notify the Purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the Purchaser in writing, the Supplier shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the Force Majeure event.
- 32. Change Orders and Contract Amendments**
- 32.1 The Purchaser may at any time order the Supplier through notice in accordance CC Clause 8, to make changes within the general scope of the Contract in any one or more of the following:
    - (a) Drawings, designs, or specifications, where Goods to be furnished under the Contract are to be specifically manufactured for the Purchaser;
    - (b) The method of shipment or packing;
    - (c) The place of delivery; and
    - (d) The Related Services to be provided by the Supplier.
  - 32.2 If any such change causes an increase or decrease in the cost of, or the time required for, the Supplier’s performance of any provisions under the Contract, an equitable adjustment shall be made in the Contract Price or in the Delivery/Completion Schedule, or both, and the Contract shall accordingly be amended. Any claims by the Supplier for adjustment under this Clause must be asserted within twenty-eight (28) days from the date of the Supplier’s receipt of the Purchaser’s change order.
  - 32.3 Prices to be charged by the Supplier for any Related Services that might be needed but which were not included in the Contract shall

- be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the Supplier for similar services.
- 32.4 Subject to the above, no variation in or modification of the terms of the Contract shall be made except by written amendment signed by the parties.
- 33. Extensions of Time**
- 33.1 If at any time during performance of the Contract, the Supplier or its subcontractors should encounter conditions impeding timely delivery of the Goods or completion of Related Services pursuant to CC Clause 12, the Supplier shall promptly notify the Purchaser in writing of the delay, its likely duration, and its cause. As soon as practicable after receipt of the Supplier's notice, the Purchaser shall evaluate the situation and may at its discretion extend the Supplier's time for performance, in which case the extension shall be ratified by the parties by amendment of the Contract.
- 33.2 Except in case of Force Majeure, as provided under CC Clause 31, a delay by the Supplier in the performance of its Delivery and Completion obligations shall render the Supplier liable to the imposition of liquidated damages pursuant to CC Clause 26, unless an extension of time is agreed upon, pursuant to CC Sub-Clause 33.1.
- 34. Termination**
- 34.1 Termination for Default
- (a) The Purchaser, without prejudice to any other remedy for breach of Contract, by written notice of default sent to the Supplier, may terminate the Contract in whole or in part:
- (i) if the Supplier fails to deliver any or all of the Goods within the period specified in the Contract, or within any extension thereof granted by the Purchaser pursuant to CC Clause 33;
  - (ii) if the Supplier fails to perform any other obligation under the Contract; or
  - (iii) if the Supplier, in the judgment of the Purchaser has engaged in fraud and corruption, as defined in CC Clause 3, in competing for or in executing the Contract.
- (b) In the event the Purchaser terminates the Contract in whole or in part, pursuant to CC Clause 34.1(a), the Purchaser may procure, upon such terms and in such manner as it deems appropriate, Goods or Related Services similar to those undelivered or not performed, and the Supplier shall be liable to the Purchaser for any additional costs for such similar Goods or Related Services. However, the Supplier shall continue performance of the Contract to the extent not terminated.

34.2 Termination for Insolvency.

- (a) The Purchaser may at any time terminate the Contract by giving notice to the Supplier if the Supplier becomes bankrupt or otherwise insolvent. In such event, termination will be without compensation to the Supplier, provided that such termination will not prejudice or affect any right of action or remedy that has accrued or will accrue thereafter to the Purchaser

34.3 Termination for Convenience.

- (a) The Purchaser, by notice sent to the Supplier, may terminate the Contract, in whole or in part, at any time for its convenience. The notice of termination shall specify that termination is for the Purchaser's convenience, the extent to which performance of the Supplier under the Contract is terminated, and the date upon which such termination becomes effective.
- (b) The Goods that are complete and ready for shipment within twenty-eight (28) days after the Supplier's receipt of notice of termination shall be accepted by the Purchaser at the Contract terms and prices. For the remaining Goods, the Purchaser may elect:
  - (i) to have any portion completed and delivered at the Contract terms and prices; and/or
  - (ii) to cancel the remainder and pay to the Supplier an agreed amount for partially completed Goods and Related Services and for materials and parts previously procured by the Supplier.

**35. Assignment**

- 35.1 Neither the Purchaser nor the Supplier shall assign, in whole or in part, their obligations under this Contract, except with prior written consent of the other party.

## Section VII. Contract Data

### Contract Data

The following Contract Data shall supplement and / or amend the Conditions of Contract (CC). Whenever there is a conflict, the provisions herein shall prevail over those in the CC.

CC 1.1(h)	The Purchaser is: <b>General Manager, State Mortgage and Investment Bank</b>										
CC 1.1 (l)	The Project Site(s)/Final Destination(s) are: State Mortgage and Investment Bank, No. 269, Galle Road, Colombo 03.										
CC 8.1	For <b>notices</b> , the Purchaser's address shall be:  <b>Attention: Chief Manager (HR and Logistics)</b> <b>Address: State Mortgage and Investment Bank,</b> <b>No. 269, Galle Road, Colombo 03.</b> Telephone: 011-7722879/723 Electronic mail address: <a href="mailto:cmhrllog@smib.lk">cmhrllog@smib.lk</a>										
CC 15.1	<b>The method and conditions of payment to be made to the Supplier under this Contract shall be as follows:</b> Payment shall be made in Sri Lanka Rupees within thirty (30) days of presentation of claim supported by a certificate from the Purchaser declaring that the Goods have been delivered and that all other contracted Services have been performed.  Payments will be made to the account of the supplier/service provider and according to the payment schedule given below. Payment shall be made after the conditions listed below for such payment have been met, and the supplier/service provider has submitted an invoice to the Client specifying the amount due:  <b>Phase 01</b>  <table border="1"><thead><tr><th><b>Pay-out (% Cost)</b></th><th><b>Milestone (Phase One)</b></th></tr></thead><tbody><tr><td>30</td><td>Sign-off of the Data Classification Framework</td></tr><tr><td>70</td><td>Completion of Data Classification</td></tr></tbody></table> <b>Phase 02</b>  <table border="1"><thead><tr><th><b>Pay-out (% Cost)</b></th><th><b>Milestone (Phase One)</b></th></tr></thead><tbody><tr><td>100</td><td>Supply, Implementation, Commissioning and Licenses activation <b>(350 license)</b> , Final Sign off and Start of Facilities Management (FM) services and Successful running of the Solution for 1 month [License fees are applicable for the first year only]. Payment for</td></tr></tbody></table>	<b>Pay-out (% Cost)</b>	<b>Milestone (Phase One)</b>	30	Sign-off of the Data Classification Framework	70	Completion of Data Classification	<b>Pay-out (% Cost)</b>	<b>Milestone (Phase One)</b>	100	Supply, Implementation, Commissioning and Licenses activation <b>(350 license)</b> , Final Sign off and Start of Facilities Management (FM) services and Successful running of the Solution for 1 month [License fees are applicable for the first year only]. Payment for
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		the license fees for the subsequent two years will be made on the start date of each year.						
<b>Support and Maintenance</b>								
The Support and Maintenance period shall commence on the Final User Acceptance Date. Payments for Support and Maintenance will be made at the end of each year, after deducting any applicable fines.								
<b>CC 17.1</b>	The supplier shall provide a Performance Security. This shall be an amount equal to ten (10) percent of the contract price (excluding VAT). Performance Security shall be submitted within 14 days of the notification of the contract award from the purchaser and it shall be valid up to 28 days following the date of Compilation of the Supplier's performance obligations under the contract, including any warranty obligations.							
<b>CC 17.3</b>	Format of the Performance Security is given in the Section VIII							
<b>CC 21</b>	All items and specifications provided in the Section V of the bidding document.							
<b>CC 26.1</b>	The liquidated damage shall be 5% of the contract price per week or part of week thereof. The maximum amount of liquidated damages shall be ten percent (10 %) of the total contract price.							
<b>CC 27.3</b>	<p>The comprehensive warranty for the following items shall be as follows;</p> <table border="1"> <thead> <tr> <th>No.</th> <th>Item</th> <th>Comprehensive Warranty period</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Supply, Implementation, Commissioning and Maintenance of Data Classification &amp; Data Leakage Prevention Solution</td> <td>3 years</td> </tr> </tbody> </table> <p>The Comprehensive Warranty, Support, and Maintenance Period of three (3) years shall commence from the date of Final Acceptance (UAT sign-off) issued by SMIB. Supplier must bear all charges with regard to the supply of labour, travel, per diem and accommodation to supplier's staff etc.; during the period of warranty. Purchaser shall NOT pay any additional expenditure for service rendered during the Comprehensive Warranty period.</p> <p><b>Supplier must adhere to the Warranty Support Service Level Agreements (SLA) listed in Section V Schedule of Requirements</b></p>		No.	Item	Comprehensive Warranty period	1	Supply, Implementation, Commissioning and Maintenance of Data Classification & Data Leakage Prevention Solution	3 years
No.	Item	Comprehensive Warranty period						
1	Supply, Implementation, Commissioning and Maintenance of Data Classification & Data Leakage Prevention Solution	3 years						
<b>CC 27.5</b>	(A) The Supplier MUST make qualified personnel available to the Purchaser by telephone, email or web access for the reporting and resolution of the problems with DLP Solution during warranty period.							

## **Section VIII. Contract Forms**

### **Table of Forms**

1. Contract Agreement
2. Performance Security
3. Advance Payment Bank Guarantee

## Contract Agreement

THIS CONTRACT AGREEMENT is made

the [insert: **number**] day of [insert: **month**], [insert: **year**].

BETWEEN

- (1) [insert complete name of Purchaser], a [insert description of type of legal entity, for example, an agency of the Ministry of ..... or corporation and having its principal place of business at [insert address of Purchaser] (hereinafter called “the Purchaser”), and
- (2) [insert name of Supplier], a corporation incorporated under the laws of [insert: country of Supplier] and having its principal place of business at [insert: address of Supplier] (hereinafter called “the Supplier”).

WHEREAS the Purchaser invited bids for certain products and ancillary services, viz., [insert brief description of products and Services] and has accepted a Bid by the Supplier for the supply of those products and Services in the sum of [insert Contract Price in words and figures, expressed in the Contract currency(ies)] (hereinafter called “the Contract Price”).

NOW THIS AGREEMENT WITNESSETH AS FOLLOWS:

1. In this Agreement words and expressions shall have the same meanings as are respectively assigned to them in the Conditions of Contract referred to.
2. The following documents shall constitute the Contract between the Purchaser and the Supplier, and each shall be read and construed as an integral part of the Contract:
  - (a) This Contract Agreement
  - (b) Contract Data
  - (c) Conditions of Contract
  - (d) Technical Requirements (including Schedule of Requirements and Functional Specifications)
  - (e) The Supplier’s Bid and original Price Schedules
  - (f) The Purchaser’s Notification of Award
  - (g) [Add here any other document(s)]
3. This Contract shall prevail over all other Contract documents. In the event of any discrepancy or inconsistency within the Contract documents, then the documents shall prevail in the order listed above.
4. In consideration of the payments to be made by the Purchaser to the Supplier as hereinafter mentioned, the Supplier hereby covenants with the Purchaser to provide the Products and Services and to remedy defects therein in conformity in all respects with the provisions of the Contract.

5. The Purchaser hereby covenants to pay the Supplier in consideration of the provision of the Products and Services and the remedying of defects therein, the Contract Price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.

IN WITNESS whereof the parties hereto have caused this Agreement to be executed in accordance with the laws of *Democratic Socialist Republic of Sri Lanka* on the day, month and year indicated above.

For and on behalf of the Purchaser

Signed: *[insert signature]*

in the capacity of *[ insert title or other appropriate designation ]*

in the presence of *[insert identification of official witness]*

For and on behalf of the Supplier

Signed: *[insert signature of authorized representative(s) of the Supplier]*

in the capacity of *[ insert title or other appropriate designation ]*

in the presence of *[insert identification of official witness]*

## Performance Security

*[Note: the purchaser is required to fill the information marked as “\*” and delete this note prior to selling of the bidding document]*

*[The issuing agency, as requested by the successful Bidder, shall fill in this form in accordance with the instructions indicated]*

----- *[Issuing Agency's Name, and Address of Issuing Branch or Office]* -----

\* **Beneficiary:**----- *[Name and Address of Employer]* -----

**Date:** -----

**PERFORMANCE GUARANTEE No.:** -----

We have been informed that ----- *[name of Supplier]* (hereinafter called "the Supplier") has entered into Contract No. ----- *[reference number of the contract]* dated ----- with you, for the ----- *Supply of* ----- *[name of contract and brief description]* (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Supplier, we ----- *[name of Agency]* hereby irrevocably undertake to pay you any sum or sums not exceeding in total an amount of ----- *[amount in figures]*(-----) *[amount in words]*, such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of your first demand in writing accompanied by a written statement stating that the Contractor is in breach of its obligation(s) under the Contract, without your needing to prove or to show grounds for your demand or the sum specified therein.

This guarantee shall expire, no later than the .... day of ....., 20.. *[insert date, 28 days beyond the scheduled completion date including the warranty period]* and any demand for payment under it must be received by us at this office on or before that date.

---

*[signature(s)]*

## Guarantee for Advance Payment

[The issuing agency, as requested by the successful Bidder, shall fill in this form in accordance with the instructions indicated.]

Date: [insert date (as day, month, and year) of Bid Submission]

ICB No. and title: [insert number and title of bidding process]

[issuing agency's letterhead]

**Beneficiary:** [insert legal name and address of Purchaser]

**ADVANCE PAYMENT GUARANTEE No.:** [insert Advance Payment Guarantee no.]

We, [insert legal name and address of issuing agency], have been informed that [insert complete name and address of Supplier] (hereinafter called "the Supplier") has entered into Contract No. [insert number] dated [insert date of Agreement] with you, for the supply of [insert types of Goods to be delivered] (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance is to be made against an advance payment guarantee.

At the request of the Supplier, we hereby irrevocably undertake to pay you any sum or sums not exceeding in total an amount of [insert amount(s)<sup>1</sup> in figures and words] upon receipt by us of your first demand in writing declaring that the Supplier is in breach of its obligation under the Contract because the Supplier used the advance payment for purposes other than toward delivery of the Goods.

It is a condition for any claim and payment under this Guarantee to be made that the advance payment referred to above must have been received by the Supplier on its account [insert number and domicile of the account]

This Guarantee shall remain valid and in full effect from the date of the advance payment received by the Supplier under the Contract until [insert date<sup>2</sup>].

[signature(s) of authorized representative(s) of the issuing agency]

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<sup>1</sup> The bank shall insert the amount(s) specified in the CONTRACT DATA and denominated, as specified in the CONTRACT DATA, either in the currency (ies) of the Contract or a freely convertible currency acceptable to the Purchaser.

<sup>2</sup> Insert the Delivery date stipulated in the Contract Delivery Schedule. The Purchaser should note that in the event of an extension of the time to perform the Contract, the Purchaser would need to request an extension of this Guarantee from the bank. Such request must be in writing and must be made prior to the expiration date established in the Guarantee. In preparing this Guarantee, the Purchaser might consider adding the following text to the Form, at the end of the penultimate paragraph: "We agree to a one-time extension of this Guarantee for a period not to exceed [six months][one year], in response to the Purchaser's written request for such extension, such request to be presented to us before the expiry of the Guarantee."